

## STATEMENT UNDER 37 CFR § 3.73(b)

Applicant/Patentee: Neeraj Garg, Eva Kristina Koch, Henrik Per Hakan Jernstedt, Mikael Johan GillnerApplication No./Patent No.: 10/591,621 PCT Filing Date: March 4, 2005For: NOVEL PHARMACEUTICAL COMPOSITIONSKaro Bio AB  
(Name of Assignee)a Corporation  
Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is

- A. ☒ the assignee of the entire right, title and interest in the patent application identified above; or
- B. ☐ an assignee together with [ ] of the entire right, title and interest in the patent application identified above.

The right, title and interest of the above-named assignee in the patent application identified above is established by virtue of:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel [ ], Frame [ ], or a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: [ ] To: [ ]  
The document was recorded in the United States Patent and Trademark Office at Reel [ ], Frame [ ], or a copy thereof is attached.
2. From: [ ] To: [ ]  
The document was recorded in the United States Patent and Trademark Office at Reel [ ], Frame [ ], or a copy thereof is attached.
3. From: [ ] To: [ ]  
The document was recorded in the United States Patent and Trademark Office at Reel [ ], Frame [ ], or a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature: C. HenssenName: Cecilia HenssenTitle: IP-managerDate: 21-may 2007

# COPY

## NOT FOR RECORDATION

Docket No. 4131.1001-000

Joint

### ASSIGNMENT

WHEREAS, we, Neeraj Garg, Eva Kristina Koch, Henrik Per Hakan Jernstedt, Mikael Johan Gillner, have invented a certain improvement in NOVEL PHARMACEUTICAL COMPOSITIONS described in an application for Patent,

- ☐ the specification of which is about to be filed in the United States Patent Office *(use for utility (37 CFR § 1.53(b)) and design filings only)*;
- ☐ is about to be filed in the United States Patent Office as a Provisional Application;
- ☐ the specification of which is United States Application No. [ ], filed [ ];
- ☒ the specification of which is a Patent Cooperation Treaty Application, International Application No. PCT/EP2005/002307, filed March 4, 2005, which designates the United States of America and assigned United States Application No. 10/591,621;
- ☐ which was patented under United States Patent No. [ ].

WHEREAS, Karo Bio AB (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of Sweden, and having a usual place of business at Novum SE-141 57, Huddinge, Sweden desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue on said invention; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein; I hereby expressly authorize the filing of an International Patent Application under the Patent Cooperation Treaty which corresponds to and claims the priority of the above-identified application;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any future applications including substitution, reissue, divisional or continuation applications, and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request each Patent Office and the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said invention to said ASSIGNEE, its successors, assigns, and legal representatives.

Inventor Neeraj Garg Date 06.03.2007  
Neeraj Garg

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Witness Signature Eva Koch

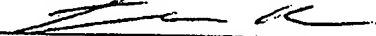
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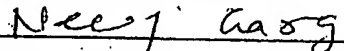
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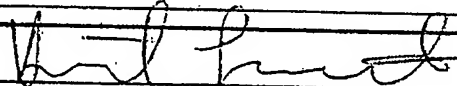
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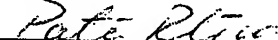
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